



Foundation for Angelman Syndrome Therapeutics (FAST)
Instructions for conflict of Interest statement and
Reminder of duty of confidentiality

Attached is the FAST Conflict of Interest (“COI”) statement. Please read the attached form, sign and return it in any of the methods listed below:

1. by scanned attachment to email sent to kristy.dixon@cureangelman.org, or
2. via email message containing the following statement, “I have read and understand the FAST Conflict of Interest and Confidentiality Statement, dated June 2012, and agree to abide by the terms of these policies. I currently do not have a Conflict of Interest to be reported. I have received and acknowledge the FAST Whistleblower Policy.”

Conflict of Interest:

In general, the crux of Conflict of Interest Policy & Disclosures is to make sure Directors, Board Members and other "interested" people who have the authority to make money decisions don't act in bad faith and vote or take action that benefits his or herself financially over the organization. A couple of example situations these policies are designed to protect against is 1) an entity agreeing to buy property from one of the board members at a unreasonable profit or 2) steering all of an organization's product purchase through a company that one of the board member owns, etc. A Col policy doesn't prohibit business dealings with members, it just has to be disclosed properly and approved by disinterested board members to ensure the organization is benefiting appropriately.

As long as you view the Conflict of Interest obligation as "you can't personally benefit financially over the best interest of organization", it is normally easy to determine whether you have a conflict or not. FAST's Conflict of Interest policy protects itself by preventing board members from inappropriately "self-dealing" or profiting from FAST business.

Confidentiality:

Please be informed that as a member of the FAST Board of Directors or Scientific Board, each individual member has a duty of confidentiality associated with his or her role. Each member shall exercise reasonable care to prevent the unauthorized disclosure or use of Confidential Information. “Confidential Information” means all information disclosed by FAST as confidential, proprietary or otherwise protected. This information may be related, but not limited to, the names of donors, amounts donated, internal board member conversations and deliberations, as well as scientific goals, targets, breakthroughs or other novel information materially developed as a result of FAST's activities, except any portion of such information that:

- a) is known to the recipient before receipt of such information under FAST communications, or is independently generated by or for the recipient, as evidenced by recipient's written records;
- b) is disclosed to the recipient, without restriction, by an independent third party having a legal right to make such disclosure; or
- c) is or becomes part of the public domain through no breach of FAST disclosure policies.



Foundation for Angelman Syndrome Therapeutics'

Conflict of Interest Acknowledgement and Disclosure Statements

I understand that as a member of the Board of Directors ("BoD") or Scientific Advisory Board ("SAB") of the Foundation for Angelman Syndrome Therapeutics ("FAST"), I have a responsibility to act in a manner that reflects the highest standard of ethical conduct and to avoid any activity or situation where my personal interest could conflict, or reasonably appear to conflict with my responsibility to carry out my fiduciary duties to the FAST.

I certify that I have received, read and understand the FAST Conflict of Interest Policy ("Policy"). I understand the purpose of this Policy is to protect the integrity of the mission and operations of FAST. Upon becoming a member, to include either FAST Board of Directors or Scientific Advisory Board, I will sign this form indicating that I have received, read and understand the policy and make a full written disclosure of interests, relationships and holdings that could potentially result in a Conflict of Interest as that term is defined by this Policy. I will at least annually update my disclosure statement to accurately reflect potential Conflicts of Interest. I will also disclose any Conflict of Interest where I, my immediate family (spouse, parents, in-laws siblings, and children) and/or members of my immediate household will receive a benefit, gain or something of value in connection with FAST activities. After disclosure, I understand that I will not be permitted to participate in discussion affecting the decision of FAST activities related to my personal involvement.

I understand this Policy is to supplement good judgment, and I will respect the letter and intent of the Policy.

_____ I am not aware of any Conflict of Interest

_____ I have a Conflict of Interest or Potential Conflict of Interest as described below:

Printed/Typed Name of Board Member

Signature

Date

FAST Whistleblower Policy Acknowledgement

If any board member, employee or volunteer believes in good faith that some policy, practice, or activity of Foundation for Angelman Syndrome Therapeutics (FAST) is in violation of law, a written complaint may be filed and submitted, anonymously if desired, to the Chairperson or a Vice-person of FAST.

It is the intent of FAST to adhere to all laws and regulations that apply to the organization, and the underlying purpose of this Policy is to support the organization's goal of legal compliance. The support of all employees is necessary to achieving compliance with various laws and regulations. An employee is protected from retaliation when bringing the alleged unlawful activity, policy, or practice to the attention of FAST in good faith. FAST will review and investigate, where appropriate, all allegations submitted and provide a final disposition of each allegation to the Board of Directors.

FAST will not retaliate against an employee who, in good faith, has made a protest or raised a complaint against some practice of FAST, or of another individual or entity with whom FAST had a business relationship, on the basis of a reasonable belief that the practice is in violation of law or a clear mandate of public policy.

FAST will not retaliate against an employee who discloses or threatens to disclose to a supervisor or a public body any activity, policy, or practice of FAST that the employee reasonably believes is in violation of a law, or a rule, or regulation mandated pursuant to law or is in violation of a clear mandate or public policy concerning health, safety, welfare, or protection of the environment.

My signature below indicates my receipt and understanding of this Policy. I also verify that I have been provided with an opportunity to ask questions about the Policy.

Board Member (or volunteer) Signature

Date